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Assessing Telemetry and Remote Control Systems for Water Users Associations in Spain

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10 **Abstract**

11 *A decades-long policy for irrigation Telemetry and Remote Control (TM/RC) systems in*
12 *Spain has led to installations for approximately 260 Water Users Associations (WUAs),*
13 *with a total estimated area of 1.0 M ha of agricultural land. This is believed to be the*
14 *largest deployment of such technologies in the world. These systems have been installed*
15 *in financial cooperation between public administrations and WUAs. This paper set out*
16 *to characterize these systems, assess the causes for their individual success or failure,*
17 *consider their future evolution and support policy updates. A survey with 110 questions*
18 *was addressed to 84 WUAs distributed throughout the country. Further, an interview*
19 *with four questions was addressed to 24 selected stakeholders intervening in irrigation*
20 *TM/RC projects: from policy makers to farmers. The results provide a detailed*
21 *overview of these systems in Spain, characterizing the WUAs in which they are*
22 *installed, their technological traits, their maintenance, the problems they face in their*
23 *daily operation, their current use, the factors limiting wider use, and the willingness of*
24 *the WUAs to continue bearing the costs to use TM/RC features in the future. A large*
25 *majority of TM/RC systems are regularly used to improve WUA water and energy*
26 *management, and receive proper maintenance. However, in 15% of WUAs, farmers are*
27 *not satisfied with the TM/RC system, and in 19% of the WUAs the TM/RC system*

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28cannot operate half of the hydraulic valves connected to them. We found that early
29technology applications failed more than recent applications, evidencing a process of
30technological maturity. The standardization of TM/RC systems, adaptation of the
31system to WUA specificities, training of WUA personnel, and a sufficiently long
32guarantee period stood as critical variables for success in the implementation of these
33technologies.

34**Keywords**

35irrigation, energy, modernization, electronics, software, water use efficiency

361. **Introduction**

37The first scientific references to Telemetry and Remote Control Systems (TM/RC) date
38back to the 1950s: medical, industrial and environmental applications were soon
39identified as promising economic sectors for this incipient technology (West, 1952;
40Hanes, 1959; Barr and Boas, 1960). Later on, agricultural water management became a
41target for TM/RC developments due to the geographical dispersion and low density of
42control and information points (Playán et al., 2013). The first step was mechanical
43automation, which started in pressurized irrigation in the 1960s with the development of
44hydraulic control valves. Mechanical devices were soon combined with electronic
45controllers for on-farm irrigation using solenoids and mini-hydraulic control circuits. By
46the end of the 20th century, TM/RC systems were installed in large farms and -
47particularly - in the infrastructure of Water Users Associations (WUA). The technical
48goals were to control large irrigation networks and to acquire data for water
49management purposes. A typical TM/RC system for an irrigation network is composed
50by a control station (usually a PC) and a number of nodes distributed throughout the
51irrigated area and communicated with the control station. Depending on system
52topology, some nodes can concentrate the information of other nodes and then report
53this information to the control station (and vice versa). Nodes are connected to a series
54of actuators (typically hydraulic control valves) and sensors (i.e., pressure, volume).

55As a demonstrator of these incipient technologies, in the 1980s, the Ministry of
56Agriculture of the Government of Spain (MAPAMA) installed a TM/RC prototype in
57the 100-ha experimental farm of the National Irrigation Technology Centre (CENTER).
58Despite the complexity of the technology available in those days, the system permitted
59to remotely open/close valves, operate continuous-move irrigation machines, sprinkler
60solid sets and drip irrigated fields, read water meters and supervise farm-wide irrigation
61operation. Maintenance of the TM/RC prototype was not an easy task, requiring intense
62dedication by engineers and technicians. The TM/RC system at CENTER was operated
63as a demonstration unit and as a laboratory for irrigation development and irrigation
64modernization policies.

65At the end of the 20th century, irrigation development plans were slowing down owing
66to growing limitations in irrigation water supply. At the same time, National Irrigation
67Modernization Plans were implemented by MAPAMA (2002, 2010). In addition, most
68Regional Governments designed and applied their own irrigation modernization plans.

69These plans targeted irrigation modernization at the (WUA level, typically replacing
70canals and ditches by pipelines, and building pumping stations and reservoirs within the
71irrigated area. These new WUA infrastructures are often called “collective networks”
72(Zapata et al., 2007). They extend from the WUA water intake(s) to the hydrants located
73at the farms. Consequently, these networks do not belong to individual farmers, but to
74the WUA.

75MAPAMA (2015) estimated that irrigation modernization had affected 1.5 M ha since
762000. The total investment in collective infrastructure was 3,815 M€, supported by the
77National Government (46%), Regional Governments (23%) and WUAs (31%)
78(MAPAMA, 2015). In addition, farmers invested in new on-farm irrigation equipment
79(mostly sprinkler and drip), occasionally counting on subsidies by Regional
80Governments.

81The application of these irrigation modernization policies in the 21st century had a
82profound effect on irrigation systems in Spain. The annual survey of crop area and yield
83performed by MAPAMA (2016) since 2002 permits assessing the changes in irrigated
84area and irrigation method (Figure 1). According to these official data, in 2016 drip
85irrigation was used in 50% of the irrigated area, with surface and sprinkler irrigation
86amounting to 26% and 24% of the area, respectively. It can be presumed that in the
87period 2002-2016 a large part of the surface irrigated area was transformed to sprinkler
88and drip irrigation, while some sprinkler irrigated area was transformed to drip
89irrigation. The survey reports on a strong association between irrigation methods and
90crops, which was also observed in similar analyses performed in California (Tindula et
91al., 2013).

92The modernization of collective irrigation infrastructure involved the participation of
93national or regional public companies created to manage this process in cooperation
94with the WUAs. Till 2010, irrigation modernization projects commonly included a TM/
95RC system for use by a WUA. From 2010 onwards public funds were not abundant, and
96TM/RC systems were only installed in selected WUAs. Some TM/RC systems were
97installed in Spain before the National Irrigation Modernization Plans that were
98implemented in the 21st century. These systems used adaptations of industrial or urban
99technology supplied by just a few multinational companies. During the first years of
100systematic installation of TM/RC systems, a national industry flourished, with about
101twenty companies developing products for collective irrigation networks. In many

102cases, products were not completely developed and tested at the time of the contract,
103and the last phases of product development were actually financed through contracts
104with public irrigation companies. This case can be regarded as an early, involuntary
105implementation of the “Public Procurement of Innovative Solutions” processes
106currently implemented in Europe (European Commission, 2017).

107In general, the installation of TM/RC systems in Spain responded to the drive of Public
108Administrations, which saw this as a key technology to modernize irrigation operation
109and management. Many WUAs showed interest in these systems, which could improve
110water management and intensify the use of modern technologies. The research
111community also saw potential in the installed TM/RC systems to address bottlenecks
112that limited improvements in water use efficiency and in farmers’ revenue. These
113include optimizing water and energy efficiency (Rodríguez-Díaz et al., 2012; Tarjuelo
114et al., 2015; Zapata et al., 2017), automating irrigation scheduling at the WUA scale
115(Playán et al, 2013), or implementing regulated deficit irrigation (Ballester et al., 2014)
116in collective networks. In addition, TM/RC systems have also proven useful to analyze
117water use at the WUA and farmer levels with unprecedented detail (Lorite et al., 2013;
118Stambouli et al., 2014), as well as to forecast irrigation water demand in collective
119pressurized networks (Pulido-Calvo et al., 2007; González Perea et al., 2015).

120During the first years of TM/RC system deployment in WUA-managed irrigation
121systems, it was clear that the technology needed to improve in terms of reliability. At
122the same time, applications were unavailable at that time to exploit the databases created
123by the TM/RC systems. Finally, most WUA employees lacked the required ability to
124maintain and exploit TM/RC systems, particularly when simultaneously dealing with a
125new collective network and the new irrigation methods.

126Widespread installation of TM/RC systems in Spain constitutes a case study of
127technology deployment for irrigation. This technology brought new perspectives to
128agricultural water managers. At the same time, the risks of such technological
129deployment were relevant, and could have led to rejection or to reduced application.
130Fifteen years since the onset of the irrigation modernization plans that supported the
131generalization of TM/RC systems, this paper sets out to evaluate the TM/RC systems
132installed in Spanish WUA-managed irrigation systems, pursuing the following
133objectives:

134 1. Assess the nature, use and maintenance of the TM/RC systems;

- 135 2. Establish causes for success and failure of individual TM/RC systems;
- 136 3. Identify changes required to make TM/RC systems more useful for water and
137 energy conservation;
- 138 4. Consider the evolution of these TM/RC systems in the near future; and
- 139 5. Support policy development for TM/RC system implementation.

1402. **Materials and methods**

141 The information analyzed in this paper was obtained through a survey of WUAs having
142 TM/RC systems and through interviews with selected stakeholders. While the survey
143 provided in-depth information from just one type of stakeholder - the WUAs - the
144 interviews provided targeted information from all agents involved in the installation and
145 exploitation of Spanish TM/RC systems.

146 **2.1. Surveying WUAs**

147 The identification of WUAs to be surveyed required an initial phase of desk research, in
148 which WUAs equipped with TM/RC systems were identified in different areas of Spain.
149 A number of these WUAs were asked to respond to the survey, and a large fraction of
150 them agreed and were ultimately surveyed.

151 A survey was prepared containing 110 questions. The vast majority were closed
152 questions (leading to qualitative variables, in which values are called “categories”), with
153 open questions used for the irrigated area and the area of the TM/RC system (leading to
154 quantitative variables, in which values are numbers). The survey was prepared in a
155 spreadsheet application, and was designed to be performed face-to-face or over the
156 telephone. Surveys were performed between March and September 2017. Each survey
157 required 20-40 minutes, depending on the use and maintenance of the TM/RC system
158 and on any additional information provided by the WUA. The survey was divided into
159 these sections:

- 160 • Characteristics of the WUA and the person answering the survey (21 questions)
- 161 • Characteristics of the installed TM/RC system (26 questions)
- 162 • Maintenance performed on the TM/RC system (13 questions)
- 163 • Problems of the TM/RC system in the WUA (11 questions)
- 164 • Current use and status of the TM/RC system (19 questions)
- 165 • Factors limiting a more intense use of the TM/RC system (10 questions)
- 166 • Willingness to bear TM/RC costs in the future (10 questions)

167 Each survey produced a vector of responses, and the set of 83 surveys resulted in a
168 matrix which was analyzed with the statistical package SPSS (IBM, version 24). In
169 addition to basic descriptive statistics, contingency tables were built for the analysis of
170 pairs of qualitative variables. The Pearson χ^2 test was performed to assess statistical
171 independence between pairs of qualitative variables. In this test, the null hypothesis is
172 that pairs of qualitative variables are independent. If the null hypothesis can be rejected,
173 then the pair of qualitative variables shows some statistical association.

174 A significant Pearson χ^2 test permitted assessment of the degree of association using
175 two coefficients, depending on the type of qualitative variable (nominal, dichotomous or
176 ordinal). The Pearson Contingency Coefficient (CC) was used for all types qualitative
177 variables, and it ranges from 0 to 1. Values close to 1 indicate strong association
178 between the variables. The Kendall Tau-b (τ_B) was only used for pairs of ordinal
179 variables. This coefficient ranges from -1 to 1. The interpretation of τ_B is similar to that
180 of the correlation coefficient used for quantitative variables.

181 A probability level of 5% was used for the Pearson χ^2 test and to assess the statistical
182 significance of CC and τ_B .

183 2.2. Estimating the number of WUAs with TM/RC systems, and the total 184 national area

185 The combination of the number of WUAs equipped with TM/RC systems and their
186 average area (obtained from the survey) permitted to estimate the number of WUAs in
187 Spain with TM/RC systems and the total area covered by this type of technology.

188 2.3. Interviewing key stakeholders

189 A set of 24 interviews was performed to complement the survey with regard to specific
190 topics. Following the analysis of the survey data, four questions were designed to
191 provide increased depth and/or wider perspectives on key issues raised by the survey:

- 192 • What have TM/RC systems already achieved in WUAs?
- 193 • How can WUAs improve the exploitation of the installed TM/RC systems?
- 194 • What are the main technical limitations of the installed TM/RC systems?
- 195 • What will be the key contributions of TM/RC systems to water and energy
196 management in the next decade?

197 Questions were addressed (face-to-face or over the telephone) to specific target groups:
198 policy makers (national or regional), public irrigation modernization companies
199 (national or regional), TM/RC manufacturers, engineering firms, WUAs and farmers.
200 Interviews were conducted between September and October 2017, whereby textual
201 information was collected. A summary and key opinions were arranged in tables to
202 facilitate the analysis of stakeholders' opinions.

2033. **Results**

204 **3.1. The WUAs and the persons answering the survey**

205 The 84 surveyed WUAs were distributed throughout the main irrigated regions and
206 river basins of Spain. The persons answering the survey were, for the most part, WUA
207 managers or other WUA personnel. Only in 9% of the cases was the survey answered
208 by the WUA President or Members of the Board.

209 The total irrigated area corresponding to the surveyed WUAs was 0.407 M ha. The
210 average size of the irrigated area was 4,850 ha, ranging from 180 to 42,000 ha. The
211 main irrigation methods were sprinkler and drip (48% each). Only four surface-irrigated
212 WUAs were surveyed (5%). Of the surveyed WUAs, 38% applied only one particular
213 irrigation method. Wide differences were observed in WUA access to water. Most
214 WUAs (63%) suffered water shortages during some years, while 21% reported no
215 restrictions and 16% suffered very frequent or permanent restrictions.

216 Most of the surveyed WUAs irrigated field crops (51%), followed by orchards (30%). A
217 few WUAs specialized in vineyards (8%), vegetables (6%) or olive trees (5%), and 80%
218 of the surveyed WUAs produced multiple crop types. The most frequent farm size was
219 less than 10 ha (51%), including land in property and under lease. Only 2% of the farms
220 were larger than 60 ha.

221 Only 20% of the surveyed irrigation projects with TM/RC systems were constructed
222 before 2000. The most frequent period for the finalization of these WUA-managed
223 irrigation networks was between 2005 and 2010 (45%), followed by the 2010-2015
224 period (18%). Eighty percent of the surveyed WUAs corresponded to irrigation
225 modernization projects. Most irrigation projects were co-funded by MAPAMA (57%)
226 or by Regional Governments (39%), often acting through public irrigation companies.
227 Only 4% of the projects did not rely on public subsidies. The most frequent cost of the
228 irrigation network (on-farm equipment not included) was less than 6,000 €/ha (42%),

229 followed by the category 6,000-8,000 €/ha (29%). Most WUAs (87%) had at least one
230 pumping station. The most frequent annual energy cost for pumping was 100-200 €/ha
231 (35%), with 21% of WUAs paying more than 200 €/ha. The typical static lift was less
232 than 80 m (62%), but 13% of WUAs pumped water higher than 160 m. Half of the
233 WUAs declared farmers to be quite satisfied with the collective irrigation infrastructure
234 (not including the TM/RC system), while 42% were very satisfied, and none were
235 dissatisfied.

236 3.2. The estimated extension of irrigation TM/RC systems in Spain

237 The total area covered by TM/RC systems in the surveyed WUAs was 0.332 M ha,
238 compared to the total irrigated area of 0.407 M ha, as presented above. The average
239 WUA irrigated area under TM/RC was 3,949 ha per system, ranging from 45 to
240 42,000 ha. According to the estimates performed by the authors, the number of Spanish
241 WUAs with TM/RC systems could be as high as 260, and the total extension of TM/RC
242 irrigated land in the country could amount to 1.0 M ha. A literature review showed no
243 evidence of similar national efforts in other countries for the deployment of collective
244 TM/RC systems for agricultural irrigation purposes.

245 3.3. The installed TM/RC systems

246 The coverage of TM/RC systems ranged from the main water distribution network
247 (8%), to the main network and the hydrants (68%) and to the network, the hydrants and
248 the irrigation sectors within the farms (24%). Regarding communications, radio was the
249 most frequent medium (46%), followed by GPRS (24%), buried cable (20%), and
250 combinations thereof (10%). The survey permitted to identify 16 manufacturers of TM/
251 RC systems. It is important to note that 24% of the surveyed WUAs had TM/RC
252 systems from more than one manufacturer. This is an issue of concern, since there is
253 currently no recognized international standard for communications within TM/RC-
254 enabled systems.

255 A typical TM/RC system was composed of 50-200 nodes, controlling 200-1,000
256 irrigation valves. The most frequent type of node power supply was a battery with solar
257 panel (58%), followed by buried cable (20%) and battery without a solar panel (19%).
258 Almost all the systems permitted remote valve operations and acquisition of data from
259 analogic sensors (e.g. pressure transducers). In 74% of the surveyed WUAs, reservoirs
260 or pumping stations were also equipped with TM/RC hardware. In 36% of the systems,

261the WUA could modify the number of nodes without the technical support of the
262equipment manufacturer. In 27% of the WUAs farmers could interact with the TM/RC
263system via the internet. The vast majority of systems could be programmed to send
264alarms based on pressure, discharge or volume of water use.

265A large part of the surveyed WUAs could not provide the cost of the TM/RC system
266(37%), given that this cost was often included in the total cost of the project. The most
267common cost range was less than 150 €/ha (21%), although some WUAs reported costs
268of more than 400 €/ha (12%). Taking into account the estimated area of TM/RC systems
269in Spain, the total cost of these installations is estimated to be 240 M €.

270When the TM/RC projects were completed, only 60% operated correctly; 35% of the
271TM/RC systems initially failed to deliver all the design functionalities. Twenty-six
272percent of the surveyed WUAs declared farmers to be very satisfied with the TM/RC
273system, while 44% were quite satisfied. Eleven percent of WUAs were partially
274satisfied, and 15% were dissatisfied. Satisfaction with the TM/RC system was clearly
275lower than the satisfaction with the whole collective network.

276Ninety-two percent of the surveyed WUAs declared that the TM/RC system had a
277guarantee period. This period was reported to be of one (19%), two (58%) or more years
278(14%). Eighty percent of the WUAs declared that the guarantee included the
279replacement of defective equipment. However, fewer WUAs reported guarantee
280coverage for software updates (46%), periodic revisions (40%) or integral system
281maintenance (43%).

282 3.4. Maintenance performed on the TM/RC systems

283Seventy percent of the WUAs reported having received training courses for the
284maintenance of the TM/RC system. The level of the courses was basic (38%),
285intermediate (26%) and advanced (6%). Sixty-nine percent of the WUAs received an
286operations manual. Forty percent of the WUAs hired a company for the maintenance of
287the TM/RC system. In 18% of the WUAs the manufacturer provided this service.
288Among the WUAs hiring a company, 54% were quite satisfied, while 41% were very
289satisfied. The rest of the WUAs counted on their own personnel for TM/RC
290maintenance. Fifty-three percent of them were university graduates. System
291maintenance can be judged satisfactory since 45% of WUAs reported that they only

292needed a few hours to repair the TM/RC system. Only 5% of WUAs reported average
293repair times in excess of one week.

294Regarding the nature of the maintenance incidents, WUAs provided the most frequent
295causes of problems in each part of the system. Communication and electric supply
296problems were often found in the system nodes. Regarding the sensors and actuators,
297problems were reported in the opening/closing of valves. The most commonly replaced
298system parts were batteries and the electronic components of the nodes.

299 **3.5. Problems of the TM/RC systems in the WUAs**

300WUAs were presented with eleven possible causes for problems in the TM/RC system.
301They had to categorize these issues according to their importance, from “not important”
302to “very important” (Table 1). The “not important” category was the most frequent for
303all issues. However, variability was important in the fraction of WUAs reporting that
304the issues were important or very important. Summarizing these findings, five causes of
305problems were identified as critical. These are listed in order of decreasing relevance:

- 306 • Unstable communications;
- 307 • Insufficient maintenance;
- 308 • Problems during the installation of the system;
- 309 • Poor adequacy of the TM/RC system to the requirements of the WUA, and
- 310 • Adverse climate (damaging the RM/RC system).

311 **3.6. Current use and status of the TM/RC systems**

312Seventy-one percent of the WUAs reported using the TM/RC system daily during the
313irrigation season. The rest of WUAs reported frequent (10%), infrequent (11%) or no
314use (8%). WUAs declared frequency of use of the system for specific applications:

- 315 • Supervising discharge in the network (74%)
- 316 • Reading the water meters (68%). 55% of the WUAs use TM/RC water meter
317 data for billing purposes. 30% of the WUAs need to manually type the
318 information into the billing application.
- 319 • Detecting failures in the network (63%)
- 320 • Supervising pressure in the network (63%)
- 321 • Opening and closing hydrant valves (61%)

- 322 • Reducing the cost of electricity which for Spanish WUAs varies with the day of
323 the year and with the hour of the day (58%)
- 324 • Irrigating the fields of the farmers (19%)

325 The key expectation of the WUAs regarding the TM/RC system was to improve the
326 control and management capacities (75%). Only 15% set out to improve the quality of
327 life of the farmers. These expectations were generally fulfilled by the TM/RC system,
328 since most WUAs used the system as planned (42%) or for more than planned (30%).
329 However, some WUAs used the system less than planned (19%), and 8% of the WUAs
330 did not use it at all. Forty-two percent of the WUAs believed that there were other types
331 of TM/RC system that are more suited to their needs than the one they have.

332 WUAs were requested to describe the current status of the TM/RC system. In 88% of
333 the WUAs the control station was functioning. In a next step, WUAs were requested to
334 declare the percentage of nodes operating properly for different node capacities (Table
335 2). Results were not very different among node capacities. Some 40% of the WUAs
336 kept the whole system in operation, and about 30% more had more than 80% of the
337 nodes operating properly. However, 25-40% of the WUAs reported relevant limitations
338 in node capacities. The capacity to operate sector valves was the one for which lowest
339 performance was reported.

340 3.7. Factors limiting a more intense use of the TM/RC systems

341 Table 3 presents the percentage of surveyed WUAs reporting different degrees of
342 importance of different possible limitations in the use of the TM/RC system. In all
343 cases, “not important” was the most frequent answer. Among the ten possible
344 limitations, the following seven were identified as critical (presented in order of
345 decreasing relevance):

- 346 • Problems with communications between the central station and the nodes;
- 347 • Problems in the electronics at the nodes;
- 348 • Low reliability in water meter reading;
- 349 • The TM/RC system does not solve the WUA’s problems;
- 350 • The TM/RC software is not user-friendly;
- 351 • Low reliability in valve opening/closing; and
- 352 • The TM/RC system produces plenty of information, but it is not clear how to use
353 it for managing the network and evaluating operations.

354 3.8. Willingness to bear TM/RC costs in the future

355 Eighty-two percent of the WUAs declared being ready to continue investing in the
356 maintenance of the TM/RC system. Among them, 49% would give this cost a high
357 priority. Only 11% would give this cost a low priority. However, when considering
358 other possible investments by the WUA, the TM/RC system did not rank first. Priority
359 destinations for investments were:

- 360 • Improving the irrigation network infrastructure (55%);
- 361 • Improving the TM/RC system (25%);
- 362 • Improving the technical capacity of WUA personnel (6%); and
- 363 • Improving the administrative capacities of WUA personnel (5%).

364 The majority of WUAs declared being ready to bear costs in the future to sustain and
365 improve the capacities of their TM/RC system. The types of capacities are presented in
366 the following list in order of decreasing acceptance:

- 367 • Receiving reliable alarms for network failure; reducing the cost of energy for
368 pumping (77%);
- 369 • Controlling pressure in the network, and controlling discharge and water
370 allocation in the network (74%);
- 371 • Remotely operating hydrant valves (65%);
- 372 • Automatically irrigating all plots and their sectors, using a software that applies
373 crop water requirements (40%); and
- 374 • Manually irrigating all field plots and sectors, and scheduling irrigation from the
375 TM/RC software for each valve (38%).

376 In general, the ranking of capacities responds more to WUA managerial interests than to
377 farmers' interests. If farmers would have been directly surveyed, preferences would
378 probably have been different, with on-farm irrigation capacities ranking high on the list.

379 3.9. Variables associated to WUA satisfaction with their TM/RC system

380 The association between variable "satisfaction of WUA farmers with the TM/RC
381 system" and a number of other variables was assessed. A number of candidate WUA
382 variables showed no association with TM/RC satisfaction:

- 383 • WUA location and extension: region (Autonomous Community) of Spain, river
384 basin, total WUA area and total TM/RC area.

- 385 • WUA irrigated farming: main irrigation method, water availability, crop types,
386 farm size.
- 387 • WUA infrastructure: cost of the irrigation network, cost of the TM/RC system,
388 existence of pumping stations, pumping static lift, average cost of energy
389 (€/ha/yr)
- 390 • TM/RC technical characteristics: type of communications, manufacturer, node
391 energy supply.
- 392 • TM/RC installation and maintenance: training courses, and educational level of
393 the person responsible for system maintenance.
- 394 • Problems of the TM/RC systems in the WUAs: Poor training of personnel,
395 insufficient maintenance, low personnel dedication, adverse climate, poor
396 quality of materials and incorrect use.

397A reduced number of candidate variables showed a significant statistical association
398with TM/RC satisfaction:

- 399 • WUA Infrastructure: the date of finalization of the irrigation project ($CC =$
400 0.521 ; τ_B positive, non-significant) and the general satisfaction with the
401 irrigation network ($CC = 0.399$; $\tau_B = +0.337$).
- 402 • TM/RC installation and maintenance: the duration of the guarantee period ($CC =$
403 0.443 ; $\tau_B = +0.204$), the hiring of external companies for system maintenance
404 ($CC = 0.402$), the time required to repair the system ($CC = 0.571$; $\tau_B = -0.266$)
405 and the level of expectations fulfillment ($CC = 0.667$; $\tau_B = 0.596$)
- 406 • Problems of the TM/RC systems in the WUAs: Unstable system ($CC = 0.600$; τ_B
407 $= -0.400$), incorrect installation ($CC = 0.534$; $\tau_B = -0.429$), defective components
408 ($CC = 0.438$; $\tau_B = -0.251$), vandalism ($CC = 0.439$; τ_B non-significant) and
409 system does not fit the WUA needs ($CC = 0.575$; $\tau_B = -0.383$).

410 **3.10. Results of the stakeholders' interviews**

411Tables 4 to 7 summarize the results of the interviews. In each question a number of
412general comments were provided by the different stakeholders. These are presented in
413the first line of each table. The presentation of the rest of comments permits
414differentiation among the distinct points of view of the six types of stakeholders.

415 Interviewees agreed that TM/RC systems have already resulted in water and energy
416 conservation, leading to an increase in farmers' income. Specific stakeholders focused
417 on progress towards transparent and responsible management, the adoption of
418 performance indicators, increased WUA capacity to respond to changes, and the
419 implementation of volumetric water delivery restrictions to farmers.

420 In order to improve the exploitation of the installed TM/RC systems, stakeholders
421 agreed on the importance of maintenance, training and robustness in communications.
422 These issues had already been identified in the survey. Some comments focused on the
423 low flexibility of the installed systems, and pointed at specific measures such as
424 increasing the number and type of sensors connected to the systems, exploiting the
425 information in the TM/RC database, and delivering information directly to farmers.

426 Stakeholders agreed on a number of technical limitations in the installed systems: rapid
427 obsolescence, lack of interoperability and standardization, unstable communications and
428 dependence on a given manufacturer. Specific comments on this issue included: poor
429 capacity to integrate water and energy management, difficulties to expand the network,
430 or high node energy consumption.

431 The general view on the contributions of TM/RC systems in the coming decade
432 included water, energy and labor management, integration with precision farming
433 through "big data" and water and energy simulation tools. Specific views included: the
434 adaptation of technology deployment to specific WUA requirements, the elaboration of
435 individualized information for farmers, a generalized reduction in the cost of
436 information generation and communication, and the elaboration and application of
437 irrigation schedules. One WUA posed a key question for the coming decade: can WUA-
438 managed networks be effectively operated in the future without TM/RC systems?

439

4404. Discussion**441 4.1. TM/RC systems and agricultural water management**

442 The results above indicate that TM/RC systems are currently installed in a large total
443 irrigated area in Spain, and that farmers are – for the most part – satisfied with this
444 infrastructure. WUAs expected that TM/RC systems would increase their managerial
445 capacities, and this seems to have been achieved in most of them. Reducing energy
446 costs for pumping, reading water meters and managing the network seem to be
447 important current applications of the system, according to the survey responses. Most
448 benefits of TM/RC systems are managerial in nature, lead to improvements in WUA
449 operation and have the potential to decrease farmers' irrigation costs. However, these
450 applications alone are not expected to significantly increase irrigation efficiency or
451 water productivity. For instance, irrigation water application depth has shown large
452 spatial variability in WUA-managed systems with and without TM/RC systems
453 (Salvador et al., 2011; Lorite et al., 2013).

454 Nineteen percent of the surveyed WUAs are currently using the TM/RC system to
455 replace all or part of the farmers' involvement in irrigation operations. In some cases,
456 farmers schedule irrigations and the WUA executes the schedule; in others, the WUA
457 schedules irrigation and farmers can modify it. This application requires TM/RC
458 systems extending to the irrigation sectors of each farm (such systems are only installed
459 in 24% of the WUA-managed irrigation systems) and relevant management capacities at
460 the WUA office. Centralized irrigation management generalizes a systematic approach
461 to irrigation scheduling and to water and energy efficiency, frees farmers from updating
462 their irrigation controllers (farmers no longer need on-farm controllers), and can
463 succeed in implementing complex schedules, such as regulated deficit irrigation or
464 avoiding periods of high wind speed in sprinkler irrigation. Even when the WUA
465 directly performs irrigation, the spatial variability in irrigation water application has
466 been reported to remain high (Stambouli et al., 2014). Specific on-farm practices, such
467 as fertigation, would be quite difficult to manage in a centralized way by the WUA.

468 Specialized WUA management databases and agrometeorological networks can have a
469 synergic effect with TM/RC systems when it comes to centralizing irrigation at the
470 WUA (Playán et al., 2014). Additionally, sensors are being developed to guide
471 irrigation decision making based on plant (Miras-Avalos et al., 2017) or soil (Bonet et

472al. 2010) status. Sensors can be connected to WUA TM/RC systems to produce
473spatially-distributed, real-time information on water status. An expert system can
474interact with all these elements to develop and execute adaptive irrigation schedules
475responding to a wide set of environmental, agronomic and economic variables. Despite
476the relevant scientific progress on these issues, this technology is not currently available
477in the market. However, 40% of the surveyed WUAs showed interest to pay for such
478systems in the future, underlining the importance of continuing irrigation scheduling
479research and innovation efforts in this direction.

480 4.2. Causes for success and failure of TM/RC systems

481The analysis of variables related to farmers' satisfaction with the WUA TM/RC system
482permits the assessment of some traits associated with the success or the failure of this
483technology for a given WUA. Results indicate that successful TM/RC application can
484be found in WUAs of any size, on-farm irrigation method, productive orientation,
485access to water and cost. Moreover, according to the survey results, the technical
486aspects of the system did not prove relevant to success. Apparently, any type of TM/RC
487systems can succeed for any WUA. These findings contrast with the experiences
488reported by some WUAs, which associate their success/failure to specific TM/RC
489technologies or manufacturers. The adequacy of a specific technology to the specific
490traits of a WUAs seems to be more important than the technology itself. For instance,
491WUA mobile phone coverage, mountain relief, electric storms and the presence of high-
492voltage electric lines seem to be key variables when opting for radio, GPRS or cable
493communication systems.

494Among the factors statistically associated with farmers' satisfaction, it is important to
495single out those where a clear cause-effect link can be established:

- 496 • The significance of the date of finalization of the irrigation project confirms that
497 the technology matured over time. Recent installations produced more satisfied
498 users than earlier installations.
- 499 • The duration of the guarantee has been found to be an important key to success,
500 as it ensures commitment of the manufacturer / installer. In a technology that
501 produces some unsatisfied users even in recent applications, this factor continues
502 to be important.

503 • Incorrect installation, defective components, adequacy of the system to the
504 WUA and vandalism have been pointed out as causes of TM/RC system failure.
505 These factors can be successfully addressed at the design, installation and
506 guarantee periods.

507 In other factors, a statistical association is clear, but cause and effect are not:

- 508 • Satisfaction with the TM/RC system was associated with satisfaction with the
509 whole irrigation project, and with the fulfillment of expectations, indicating that
510 this complex technology is best appreciated in a general context of suitable
511 project development.
- 512 • Variables related to maintenance (hiring an external company or the time
513 required to repair the system) can be both cause and effect of users' satisfaction.
514 For instance, WUAs that are unsatisfied with the TM/RC system often abandon
515 maintenance and will not hire a company for this purpose.

516 **4.3. Standardization of TM/RC systems for collective irrigation**

517 There is currently no specific international standard for TM/RC systems. Regarding
518 national standards, we are only aware of the Spanish standard "UNE-EN 15099-1:2007
519 "Remote monitoring and control for irrigation systems. Part 1: General considerations".

520 The survey revealed that 24% of the surveyed WUAs had network installations from
521 more than one manufacturer. In addition, most WUAs have a TM/RC system for the
522 collective network plus a specific TM/RC system for the pumping stations. These
523 systems are produced by different manufacturers and cannot communicate with each
524 other. Consequently, water and energy management in the WUA is fragmented. It is
525 currently common to find several computers in a WUA acting as control stations for
526 different systems and having very limited software interaction, if any. In addition, all
527 WUAs use a management database. Lack of communication between TM/RC systems
528 and the management database explains why 30% of the WUAs can read the water
529 meters from the office, but then need to type this information into the WUA
530 management database.

531 MAPAMA is aware of these problems and currently leads a Working Group in ISO
532 Committee ISO/TC23/SC18 "Irrigation and Drainage Equipment" focusing on the
533 development of a standard for TM/RC in irrigation. Responding to public interest, such
534 standard needs to protect the rights of TM/RC users and manufacturers. Standardization

535 will favor concurrence in the TM/RC sector, increasing the quality of the systems in the
536 market. Additionally, compliance with the standard will ensure communication flow
537 between all Information Technology elements of a WUA, and will ease progress in
538 management modes, such as advanced irrigation scheduling techniques (e.g. regulated
539 deficit irrigation, precision irrigation) or centralized management of water and energy.

540 **4.4. Policy support regarding the installation of TM/RC systems**

541 The findings of this research permit to establish lines of policy action regarding new
542 TM/RC projects:

- 543 • User satisfaction is associated to the fulfillment of user expectations. Therefore,
544 it is very important to identify the expectations of the WUA and to consider
545 them during the design phase of a TM/RC project. Nurturing fruitful dialogue
546 between the design engineers and the WUA seems to be a key for success.
- 547 • The coverage (main network / hydrants / sectors) and the capacities of the
548 TM/RC system need to respond to the management modes to be implemented by
549 the WUA after the project. For instance, if water meters will only be read once a
550 year and alarms on hydrant discharge are not required, it may not be cost-
551 effective to use the TM/RC system to read the water meters. Very simple
552 TM/RC systems (covering the main network) can be very useful and cost-
553 effective for WUAs with low involvement in water management. According to
554 the survey, such TM/RC systems invariably produced very satisfied and satisfied
555 users.
- 556 • WUAs having high energy requirements will benefit from the capacity of
557 TM/RC systems to reduce the energy bill. Additionally, these WUAs often read
558 water meters frequently (about every month) to issue water bills and therefore to
559 recover energy costs. These WUAs seem to be adequate candidates for high-
560 performance TM/RC systems.
- 561 • The TM/RC project should include quality control tests for the components and
562 their installation, along with a sufficiently long guarantee period. Sixty-nine
563 percent of the unsatisfied users of TM/RC systems declared that the system was
564 not operating properly when the WUA received it.
- 565 • Many WUAs verbally reported difficulties in controlling TM/RC installation
566 and in effectively using the guarantee period because they were overloaded with
567 the reception of the new collective network. This may have been a major cause

568 of TM/RC problems. As a consequence, it seems convenient to delay the
569 installation of the TM/RC system until the new network is fully operative. This
570 may imply a delay of two-three years in some cases, which could effectively
571 reduce the risk of failure. Managing this delay constitutes a financial and
572 administrative challenge.

573 • In 19% of the WUAs, less than 50% of the nodes can currently operate hydraulic
574 valves. These WUAs approximately correspond to the 15% of dissatisfied users
575 and the 11% of partially satisfied users. The situation of these WUAs should be
576 assessed to find a solution: about half of them continue to be interested on the
577 technology, and are ready to bear additional costs in the future.

5785. **Conclusions**

579A decades-long policy for irrigation TM/RC systems in Spain has led to installations in
580approximately 260 Water Users Associations (WUAs), with a total estimated extension
581of 1.0 M ha. The overall estimated construction cost is 240 M €, which was supported
582by public administrations and WUAs. A large majority of TM/RC systems are daily
583used to improve WUA water and energy management and receive proper maintenance.
584As a consequence, TM/RC systems can be judged as very satisfactory, in general, and
585are contributing to improve the managerial capacities of the WUAs.

586Early TM/RC applications failed more than recent applications, evidencing a process of
587technology maturity during the time frame in which the TM/RC systems were installed
588in Spanish irrigation systems (since the last decade of the 20th century). Additional traits
589of successful projects are: 1) the integration of WUA needs in TM/RC project design; 2)
590a sufficiently long guarantee period and quality control during the installation phase
591(detecting unstable or defective components); 3) adequate training or WUA personnel
592or hiring of external services; and 4) a maintenance plan for the TM/RC system.

593Despite the generalized success, in 15% of WUAs farmers are not currently satisfied
594with the TM/RC system, and 11% show partial satisfaction with the system. Further, in
59519% of the WUAs the TM/RC system cannot operate 50% of the hydraulic valves
596connected to them. Despite the reported problems, half of these WUAs continue to be
597interested in TM/RC systems.

598A number of technical and policy action lines have been presented in this paper to
599increase the success of present and future TM/RC applications. Most WUAs believe

600that this technology will soon generalize in collective agricultural water networks.
601Issues like standardization, training, maintenance and farmer-oriented management
602stand as critical to achieve this vision of the future.

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695 *2002-2016.*

696 **Table 1.** *Surveyed WUAs (%) reporting different degrees of importance of different*
697 *possible causes for problems in their TM/RC systems.*

698

	Not important	Low importance	Important	Very important
Poor training of personnel	48	23	16	12
Unstable communications	40	21	22	17
Insufficient maintenance	43	22	27	9
Low personnel dedication	58	16	16	10
Incorrect installation	47	17	14	22
Defective components	55	26	5	14
Vandalism	44	27	21	7
Adverse climate	38	23	30	9
Poor quality of materials	47	25	16	13
Incorrect use	69	19	6	5
System does not fit the needs	49	16	18	18

699

Table 2. *Surveyed WUAs (%) reporting different percentages of nodes operating properly for different node capacities.*

702

Node capacities:	Nodes operating properly:				
	0%	0-50%	50-80%	80%-100%	100%
Open / close hydrant valve	16	4	3	36	41
Open / close sector valve	31	8	2	21	38
Read water meter	12	5	7	29	47
Read pressure sensor	15	7	14	27	37

703

704 **Table 3.** *Surveyed WUAs (%) reporting different degrees of importance of different*
 705 *possible limitations in the use of the TM/RC system.*

706

	Not important	Low importance	Important	Very important
Problems in the nodes	42	31	10	18
Low reliability in valve operation	48	27	9	16
Low reliability in water meter reading	41	31	17	11
Low reliability in pressure sensor reading	58	25	13	4
Problems with communications	40	24	11	25
Non user-friendly software	45	31	13	11
Poor communication between TM/RC and billing software	52	32	14	2
The system does not solve the problems of the WUA	60	15	10	16
WUA personnel cannot valorize the system	77	13	6	3
Plenty of information; not clear how to use it	58	19	12	12

707**Table 4.** Summary of the results of interview question: What have TM/RC systems already achieved in
708WUAs?

709

Stakeholder group	Summary and key answers
General comments	<ul style="list-style-type: none"> • Conserve water, Organize water delivery, reduce the energy bill, detect failures, increase farmers' income.
Policy Makers	<ul style="list-style-type: none"> • Discard traditional bad practices in water management. • Transparent, responsible management: all operations are recorded by the system. • Swift detection of management incidences.
Public irrigation modernization companies	<ul style="list-style-type: none"> • Facilitate implementation of preventive management, moderating operational cost and extending the life of the network. • Control of on-farm equipment: discharge, pressure and timeliness of irrigation. Report to farmers. • Assess irrigation network performance using indicators.
TM/RC manufacturers and installers	<ul style="list-style-type: none"> • In success stories, robust, user-friendly operation. • In failure stories, useless investments and extreme complications in water management. • Since water service is granted by the TM/RC systems, WUAs can focus on hydraulics, energy and water. • Increase WUA capacity to react to changes in the system.
Irrigation engineering firms	<ul style="list-style-type: none"> • Achievements depend on the WUA and on TM/RC technology. • Where properly used, TM/RC systems are cost-efficient and have radically changed the way WUAs operate. • TM/RC has strongly modified irrigation projects.
WUAs	<ul style="list-style-type: none"> • Increased awareness of the WUA responsibility. • Optimization of pumping as a consequence of pressure control • Effectively implement volumetric water restrictions to farmers. • Reduced labor requirement from WUA personnel and farmers.
Farmers	<ul style="list-style-type: none"> • Control irrigation application. • Water management is now more responsible and fairer.

710

711 **Table 5.** Summary of the results of interview question: How can WUAs improve the exploitation of the
712 installed TM/RC systems?

713

Stakeholder group	Summary and key answers
General comments	<ul style="list-style-type: none"> • Develop TM/RC maintenance plans. • Improve WUA personnel training or hire an external company. • Address communication failure, the critical aspect limiting TM/RC system use.
Policy Makers Public irrigation modernization companies	<ul style="list-style-type: none"> • Take into account that many aspects of the installed systems are difficult to modify. • Analyze the obtained results and develop a specific plan for each WUA. • Increase the number sensors (water quantity and quality, valves, pumping stations...) and alarms. • Assess software requirements to exploit the data collected by the TM/RC system. • Consider that TM/RC are tools for advanced WUA management: these WUAs must plan maintenance and pay back for a period of about ten years. • Focus on the critical services of TM/RC systems: low personnel requirements and basic information.
TM/RC manufacturers and installers	<ul style="list-style-type: none"> • Extending TM and RC capacities to farmers' mobile phones. • Adapt the TM/RC system to WUA specificities as much as it is technically possible. • WUAs cannot receive at the same time the new network and the TM/RC system. They need time to absorb the technology.
Irrigation engineering firms	<ul style="list-style-type: none"> • Provide more useful information to farmers. • The cost of consumables and replacements needs to be moderate for WUAs to engage. • Subsidies and low implication in decision making make it difficult for farmers to appreciate the value of the TM/RC technology.
WUAs	<ul style="list-style-type: none"> • Software tools are required for the exploitation of the TM/RC database. • Give more management capacities to farmers through mobile applications. • Agronomic advise on crop water status to optimize irrigation.
Farmers	<ul style="list-style-type: none"> • Overcome the difficulties in TM/RC robustness to make this system central un WUA water management.

714

715 **Table 6:** Summary of the results of interview question: What are the main technical limitations of the
716 installed TM/RC systems?

717

Stakeholder group	Summary and key answers
General comments	<ul style="list-style-type: none"> • Several isolated TM/RC systems are used in WUAs for different purposes: reservoirs, pumping stations, collective network... • Unstable communication between the central unit and the nodes. • WUAs depend on the manufacturer of their TM/RC system: there is currently no interoperability. Standardization is required to optimize WUA investments.
Policy Makers	<ul style="list-style-type: none"> • Limited telemetry capacities, since manufacturers often focused on remote control. • Poor capacity to integrate energy management. • Low sensor robustness for the local climatic conditions. • Proprietary systems produced by an industry where many companies have now disappeared. This limits continuity in operation.
Public irrigation modernization companies	<ul style="list-style-type: none"> • Obsolescence limits the exploitation of the systems. It is difficult and expensive to update system components. • Dialogue between engineers and WUAs during project conception would have eased technical limitations. • Solar panel powered nodes often have limited connection time. • In rolling terrains radio TM/RC systems will require many communication nodes, increasing investment and maintenance costs.
TM/RC manufacturers and installers	<ul style="list-style-type: none"> • Difficulties to expand the network since technology is proprietary and may be obsolete. • The electronic components are subjected to very fast obsolescence. Sometimes it is impossible to find replacements. WUAs often acquire large stocks of components, anticipating future needs. • Limitations derived from the contracting process and budget cuts, which affect the TM/RC system more than other parts of the project.
Irrigation engineering firms	<ul style="list-style-type: none"> • Software does not support dynamic, responsive WUA management. • Installed systems have low flexibility to accommodate new realities, such as pumping with solar energy.
WUAs	<ul style="list-style-type: none"> • Nodes have high energy consumption. • Consumables are expensive and difficult to find. • Software does not support fast, simple exploitation of the TM/RC information
Farmers	<ul style="list-style-type: none"> • The TM/RC system is complex to operate. • Components become obsolete very quickly. • Energy consumption, vandalism of solar panels, high cost of battery replacement.

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719 **Table 7.** Summary of the results of interview question: *What will be the key contributions of TM/RC systems*
720 *to water and energy management in the next decade?*

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Stakeholder group	Summary and key answers
General comments	<ul style="list-style-type: none"> • Adjust water and energy use to the requirements. Reduce the energy bill. • Further improvements in labor conditions of farmers and WUA personnel. • Integrate TM/RC systems with irrigated farming, use a variety of data sources (meteorological, agronomical, organizational, land tenure) in decision making. • Lead WUA and farmers towards precision farming. • Integration with water and energy use efficiency simulation tools.
Policy Makers	<ul style="list-style-type: none"> • Exploit big data analysis (TM/RC databases in conjunction with meteorology and other sensors). • Adapting technology deployment to every situation, WUAs will find cost-efficiency in their TM/RC systems.
Public irrigation modernization companies	<ul style="list-style-type: none"> • Generalize preventive management. • Provide farmers with individualized, real-time information for success in their farming operations. • Implement network performance indicators pursuing quality control in water and energy management. Indicators can be associated to incentives to WUA personnel.
TM/RC manufacturers and installers	<ul style="list-style-type: none"> • Early detection of failures. • Increase the number and type of environmental sensors to guide irrigation management. • Gather additional information through distributed low-cost devices. • Adopt robust industrial standards for irrigation applications.
Irrigation engineering firms	<ul style="list-style-type: none"> • Reduction in the cost of the technology will lead to widespread application. This will permit to exploit big data in irrigated agriculture. • Irrigation will be fully automated. Farmers' interaction will not be required. This implies a societal change, for which technology and farmers need to get ready.
WUAs	<ul style="list-style-type: none"> • Providing more and better information to farmers. • Complete automation of WUA irrigation: from meteorological and farming information to water application. • Can WUA networks be effectively operated in the future without TM/RC systems?
Farmers	<ul style="list-style-type: none"> • Irrigation scheduling, water use forecast. • Combine with meteorological databases for optimum water application.

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